

UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF MASSACHUSETTS
(CENTRAL DIVISION)

ELIZABETH R. STEFFENBERG,
Plaintiff

v.

T. GENE GILMAN, STEVEN GILMAN,
THE GILMAN INSURANCE
AGENCY, INC., DAVID M. SCOLL,
ARBOR SECURITIES, LTD.,
ALLIANCE INVESTMENT
MANAGEMENT, COMMONWEALTH
FINANCIAL HOLDINGS INC.,
FINANCIAL LINKS, INC.,
T. GILMAN & CO., LTD.,
PENSON FINANCIAL SERVICES, INC.,
AVIVA USA CORPORATION,
AVIVA LIFE INSURANCE COMPANY,
TRADETEK, LTD., and
TRADETEK, LLC.,
Defendants.

CIVIL ACTION NO.

NO. 04-40113-FDS

**AVIVA LIFE INSURANCE COMPANY'S
LOCAL RULE 7.3 CORPORATE DISCLOSURE STATEMENT**

Pursuant to Rule 7.3 of the Local Rules of the United States District Court for the District of
Massachusetts, Defendant Aviva Life Insurance Company hereby discloses that it is wholly owned by Aviva
USA Corporation and its ultimate parent company is Aviva, plc.

Respectfully submitted,

AVIVA LIFE INSURANCE COMPANY

By its attorneys,

/s/Kristin M. Knuuttila

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